GAMBLING REGULATORY AUTHORITY

LICENCE APPLICATION FORM

(Under Section 93 of the Gambling Regulatory Authority Act 2007)

DATE:

APPLICATION FOR NEW LICENCE ☐

RENEWAL OF LICENCE ☐

Period of Renewal from……to……..

TYPE OF LICENCE: _____

Specify if applicant is an individual or a company: .....

Disclaimer:

1. Applicants should refer to the list of licences provided at annex in order to record the correct type of licence when filling in this application form.
2. Individuals are required to fill in Parts A and C, and companies are required to fill in Parts B and C of the application form
3. For Personal Management Licence (PML) application, refer to Annex 3 and apply as per Category.
4. Applicants are required to submit supporting documents listed in annex, as applicable, together with the licence application form.

1. PART A (*For Individuals*) - APPLICANT INFORMATION

1.1 Applicant's Name: .....

1.2 National Identity Card Number/ Passport Number: .....

1.3 Residential Address: .....

1.4 Nationality: .....

1.5 Telephone Number: .....

1.6 Email Address: .....

1.7 Personal Management Licence no. (If any): .....

1.8 Employment Details:

1.8.1 Occupation/Designation: ……

1.8.2 EmployerName: ……

1.8.3 Number of years in current employment: …….

1.8.4 Estimated monthly revenue: .....

1.9 Details of any other licence(s)/registration(s)

1.9.1 Type of Licences:

……

……

……..

1.9.2 Name of issuing Authority: ……

2. PART B (*For Company*) - COMPANY INFORMATION

2.1 Name of Company: ……

2.2 Trading Name (if different from registered name of company):.....

.....

2.3 Type of Company: Private ☐ Public ☐

2.4 Date of Incorporation: .....

2.5 Business Registration Number: .....

2.6 Registered address: .....

2.7 Address of Operation: .....

2.8 Telephone Number: .....

2.9 Email address: .....

2.10 Name and Designation of main contact person and authorised signatory:.....

.....

.....

2.11 Personal Management Licence no. of Directors/Managers or Officers (If any)

.....

12th Floor, Newton Tower, Sir William Newton Street, Port Louis, Mauritius
Telephone No. (230) 260 2000 Fax No. (230) 213 1205
E-mail: gra.admin@intnet.mu

2.12 Beneficial Ownership information*

2.12.1 Ultimate Beneficial Owner Name:.....

2.12.2 NIC/Passport:.....

2.12.3 Residential address: .....

2.12.4 Contact number: .....

2.12.5 Email: .....

2.14 Details of any other licence(s)/registration(s):

2.14.1 Type of Licences: …….

2.14.2 Name of Issuing Authority:…….

* Please annex additional sheets to disclose details of any additional ultimate beneficial owner(s). For this section, applicants should refer to the definition of ultimate beneficial owner(s) provided in the Companies Act 2001.

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3 PART C – DECLARATION

		YES	NO
3.1	I hereby confirm that **the company is/ I am (Delete as appropriate)** duly qualified under Section 93 to be holder of a licence.	☐	☐
3.2	I hereby confirm that no **substantial shareholder** (within the meaning of Companies Act 2001) of the company **is convicted of any offence** under the Gambling Regulatory Authority Act or any offence involving fraud or dishonesty or is in breach of regulations made under the Gambling Regulatory Authority Act - **Applicable to Companies only**	☐	☐
3.3	Have you or in the case of a company your directors, managers, officers or any person having direct or indirect interest (Delete as appropriate):		
	(a) ever been convicted of an offence or is subject to any current, pending or previous investigation by any statutory, regulatory or governing body in Mauritius or abroad(including Mauritius Police Force, Mauritius Revenue Authority, Independent Commission against Corruption, Financial Crimes Commission, Financial Intelligence Unit, Financial Reporting Council, Registrar of Companies, Registrar of Association, Conservator of Mortgages).	☐	☐
	(b) ever been convicted of an offence, been involved in any investigation or accepted a formal police reprimand, warning, caution in Mauritius or abroad including if charged with an offence under any enactment but awaiting trial, or under investigation?	☐	☐
	(c) ever been subject to civil legal action, whether personally or as a representative of a body corporate?	☐	☐
	(d) ever had any liquidity problem or been declared bankrupt under the Bankruptcy Act or Insolvency Act?	☐	☐

(e) been involved in any public procurement exercise?

3.4	Are you an office bearer including a nominee director in any local company or a company abroad or are you a party to any joint venture or any Société having a direct or interest in the activities covered by your licence?	☐	☐
3.5	Are you a politically exposed person or are you connected to a politically exposed person in any way?	☐	☐
3.6	Has there been any change in Directors, shareholders or beneficial owners of the company?	☐	☐

***If any of the above questions have been answered in the affirmative, please annex further information and any relevant supporting documents.*

4 APPLICANT SIGNATURE AND DECLARATION

4.1 I .....

(Name)

.....

(Designation)

Acting on behalf of .....

(Name of Company, where applicable)

hereby apply for a licence, as specified in this application form, and declare that the information given above and in attached sheet(s) are true and correct.

PART D (to be completed by the GRA)

2.	**LICENSING UNIT RECOMMENDATION**
	(Please tick as appropriate)
Approved	
Rejected	
Reason for Rejection (if any):	

3.	**LATE RENEWAL**	**YES**	**NO**
3.1	Is penalty applicable for late renewal?		
Observation/Remarks:			
Processed by:	Title of the Officer:		
Signature:	Date: / /	Time:	
4.	**NOTE OF CHIEF EXECUTIVE** *(if applicable)*:		
Signature:	Date: / /	Time:	
PART C (to be completed by the GRA Cashier Office)			
Processed by:	Title of the Officer:		
Signature:	Date: / /		

Type of licences:

1. Casino Operator's licence(
2. Hotel Casino Operator's licence
3. Gaming House A Operator's licence
4. Gaming House B Operator's licence
5. Limited Payout Machines Operator's licence
6. Amusement Machines Operator's licence
7. Bookmaker conducting fixed odd betting on Football matches (outside Mauritius) Operator's licence
8. Bookmaker On Course conducting fixed odd betting on local race Operator's licence
9. Bookmaker Off- Course conducting fixed odd betting on local race Operator's licence
10. Bookmaker conducting fixed odd betting on local race through Remote Communication (Telephone or SMS) Operator's licence
11. Totalisator Operator's licence
12. Sweepstakes Organiser Operator's licence
13. Local Pool Promoter Operator's Licence
14. Agent of a Foreign Pool Promoter Operator's licence
15. Dart Games Operator's licence
16. Promotional Lottery Licence
17. AD HOC licence
18. Operator of Mauritius National Lottery licence
19. Lottery Operator's licence under Part XVII
20. Loterie Vert Operator under Part XVI licence
21. AD HOC Operator's licence
22. Horse Racing Organiser Operator licence
23. Personal Management Licence
24. Horse Trainer's licence
25. Assistant Trainer's licence
26. Stable Supervisor's licence
27. Equestrian Centre licence
28. Apprentice Jockey's licence
29. Local Jockey's licence
30. Foreign Jockey's licence
31. Local Trackwork Rider's licence
32. Foreign Trackwork Rider's licence

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ANNEX

SUPPORTING DOCUMENTS

*Disclaimer: In addition to the below list of compulsory supporting documents, the GRA may require applicants to submit any additional document or information which may be required during the assessment of the licence application.

1. **INDIVIDUALS *(Compulsory)***
   - (i) NIC/Passport
   - (ii) Two passport size photos
   - (iii) Proof of address (less than 3 months) or rental agreement, Birth certificate, marriage certificate, as applicable
   - (iv) Occupation permit (Mandatory for foreign nationals)
   - (v) Bank reference letter (Original) – 6 months
   - (vi) Last 6 months bank statement
   - (vii) Latest tax return
   - (viii) Certificate of character*
   - (ix) Declaration of assets and liabilities

2. **COMPANIES *(Compulsory for first time applicant)***
   - (i) Business Registration Certificate
   - (ii) Certified true copy of Certificate of Incorporation
   - (iii) Certificate of good standing
   - (iv) Extract of Files
   - (v) VAT Registration Certificate
   - (vi) Trust Deed (if any)
   - (vii) Constitution of the company/ Merger & Acquisitions (if any)
   - (viii) Company structure and hierarchy
   - (ix) Disclosure of Beneficial Owner and NIC
   - (x) Copy of NIC or Passport for directors and officer bearers
   - (xi) Proof of address for directors and officer bearers
   - (xii) Bank Reference for Company & Directors
   - (xiii) Details of source of fund for Shareholder and any other Third Party
   - (xiv) A 3 months valid certificate of character is also required for each director, shareholder and beneficial owner/ultimate beneficial owner)
   - (xv) Bank Statement for the last 6 months for directors

(xvi) CV for all Directors, Managing Directors/CEO, Compliance Officer MLRO and Deputy MLRO
(xvii) Financial Statement for the past 5 years/ Audited accounts (existing company)
(xviii) Feasibility report
(xix) Business plan including background, objective, forecasts for 5 years
(xx) Quotation & Details of professional indemnity insurance cover
(xxi) Board resolution for the appointment of the Authorised Signatory
(xxii) Quotation and details of any Software, IT Systems and applications and financing details. (if Applicable)
(xxiii) Details on the Technical Standards for Gaming Machines, Limited Payout Machines, Amusement Machines & Server (Regulations 2024)
(xxiv) Details on the IT Systems and service agreement to be entered into between the applicant and the service provider
(xxv) Proposed games and /or Rules to operate
(xxvi) Proposed site plan
(xxvii) Leased agreement of proposed site
(xxviii) Certificate of Registration from the Data Protection Office
(xxix) Details of measures to be put in place with regards to infrastructure, health and safety of employees.

<u>For Renewal of licence</u>

- Latest Financial Statement for the Company.
- A 3 months valid certificate of character is also required for each director, shareholder and beneficial owner/ultimate beneficial owner)
- NIC of Directors and Shareholders
- Bank Reference for Company & Directors
- Copy of licence to be renewed
- Bank Statement for the last 1 year for the company (for existing)

3. PERSONAL MANAGEMENT LICENCE (PML)

3.1. Category – Directors, Managers and Officers

(i) National Identity Card (NIC) / Passport
(ii) Proof of Address (issued within the last three months)
(iii) Certificate of Character* (issued within the last six months)
(iv) Company authorisation letter permitting the applicant to apply for a Personal Management Licence (shall include company's sealed)

3.2. Category – Horse Owners

(i) National Identity Card (NIC) / Passport
(ii) Proof of Address (issued within the last three months)
(iii) Certificate of Character* (issued within the last six months)
(iv) Source of Funds (last 3 months payslips, if employed)
(v) Business Registration Number (where applicable, if self-employed)
(vi) Bank Reference Letter (issued within the last 6 months)
(vii) Bank Statements for the last six months
(viii) Proof of ownership shares and evidence of horse-related payments, duly signed by a licensed Stable and/or Trainer*

* *Mandatory documents required prior to the issuance of the licence.*

4. LOCAL TRACKWORK RIDER (In addition to compulsory documents)

(i) Medical History and Clearance form
(ii) Letter of recommendation from trainer
(iii) Letter of recommendation from horse racing organiser (*where services will be offered)*
(iv) Insurance/ Indemnity Cover *(To secure insurance cover with the Horse Racing Organiser)*
(v) Barrier Trial result
(vi) Declaration of assets and liabilities

5. FOREIGN TRACKWORK RIDER (In addition to compulsory documents)

(i) Occupation Permit
(ii) Medical History and Clearance form
(iii) Letter of recommendation from trainer
(iv) Letter of recommendation from horse racing organiser
(v) Insurance/ Indemnity Cover *(To secure insurance cover with the Horse Racing Organiser)*
(vi) Contract of employment/ Letter of undertaking
(vii) Declaration of assets and liabilities

6. APPRENTICE JOCKEY (In addition to compulsory documents)

(i) Medical History and clearance form
(ii) Letter of recommendation from trainer
(viii) Insurance/Indemnity cover *(To secure insurance cover with the Horse Racing*

Organiser)

(iii) Indentures *(To be submitted if licensed)*

(iv) Barrier Trial Result

(v) Declaration of assets and liabilities

7. LOCAL JOCKEY (In addition to compulsory documents)

(i) Riding and disciplinary record

(ii) Medical history and clearance form

(iii) If ridden abroad:

(a) Clearance from overseas Authority;

(b) Disciplinary Record

(iv) Letter of intent/undertaking from Trainer *(Contract of Employment shall be submitted once licensed)*

(v) Insurance/ Indemnity Cover *(To secure insurance cover with the Horse Racing Organiser)*

(vi) Bank guarantee letter

(vii) Declaration of assets and liabilities

8. FOREIGN JOCKEY (In addition to compulsory documents)

(i) Residential Permit

(ii) Occupation Permit

(iii) Medical history and clearance form

(iv) Clearance from overseas Authority

(v) Riding and disciplinary record (from Home Authority and Place where last rode))

(vi) Letter of intent/undertaking from Trainer (Contract of Employment must be submitted once licensed)

(vii) Insurance/ Indemnity Cover *(To secure insurance cover with the Horse Racing Organiser)*

(viii) Bank guarantee letter

(ix) Declaration of assets and liabilities

9. ASSISTANT TRAINER AND STABLE SUPERVISOR (In addition to compulsory documents)

(i) Curriculum Vitae and qualifications

(ii) Letter of recommendation from Trainer

(iii) If foreigner,

(a) Residence permit

(b) Occupation permit
(c) Clearance from Authority
(d) Past performance, including Disciplinary record
(e) Declaration of assets and liabilities
(f) Signed Integrity Pledge

10. TRAINERS (In addition to compulsory documents)

(i) Curriculum Vitae and qualifications
(ii) Clearance from Home Authority (Racing as well as Forfeit List Clearance), where applicable
(iii) Disciplinary and performance record
(iv) Proof of Financial Stability, including last 6 months bank statement showing evidence of transaction and funds (From account which will be used for transaction)
(v) Stable Location
(vi) Stable rent agreement (as applicable)
(vii) Signed Integrity pledge
(viii) Declaration of assets and liabilities
(ix) 3 years' business plan, including source of revenue/ source of funds (If Trainer is employed, business plan to be provided by employer)
(x) Bank guarantee MUR 3 million (If trainer employed, bank guarantee to be provided by employer)
(xi) Contract with Owners
(xii) List of horses (Minimum 10)
(xiii) Declaration of assets and liabilities

11. Equestrian Centre Licence

(i) Proof of Ownership/ Lease agreement
(ii) Plan of Equestrian centre (including: Perimeter fence, no. of boxes, paddocks, sand pits, tracks, security measures)
(iii) Relevant authorisations from Local Government, Ministry of Environment and Ministry of Agro Industry, Food Security, Blue Economy and Fisheries

NOTE:

The Gambling Regulatory Authority reserves the right to request any other document deemed necessary during the processing of the application